



Vendor/Agent/Independent Contractor Code of Conduct Acknowledgement Form Attachment (C)

The Arc Rockland has developed a Corporate Compliance Plan that states that the organization and its employees, contractors and Board of Directors will adhere to applicable federal, state and local laws and regulations and internal policies and procedures.

Our Corporate Compliance Plan is a combination of policy and procedure that assists our organization to monitor, detect and correct actions that are not in compliance with applicable laws or our own policies and procedures.

As our agent, we expect that you will act in compliance with the laws that are applicable to our organization and to your organization and in compliance with our policies and procedures, particularly our Code of Conduct that sets forth the overarching principles for conducting our business with integrity based on sound ethical and legal standards.

As our agent, we also expect you to report any suspected or potential violations of law or our policies and procedures of which you become aware by contacting our Chief Executive Officer at (845) 905-6869, our Compliance Officer at (845) 502-2418 or our Corporate Compliance Hotline at (845) 905-6504.

As our agent, we expect you to understand your role in the Corporate Compliance Plan of The Arc Rockland and we expect you to request any policies and procedures that are applicable to you and your organization. You may contact the Chief Executive Officer or the Compliance Officer for any questions or clarifications of your responsibilities.

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- ✓ I acknowledge that on behalf of myself and my organization that I have read and that I understand The Arc Rockland Code of Conduct and the policies and procedures of The Arc Rockland Corporate Compliance Plan that are applicable to the services that myself and my organization are providing to The Arc Rockland.
- ✓ I understand and agree that I and all those in my organization who provide services to The Arc Rockland must comply with The Arc Rockland Corporate Compliance Plan and The Arc Rockland Code of Conduct and all laws, regulations, policies, procedures and other guidance applicable to the services that are provided to The Arc Rockland.
- ✓ I agree on behalf of myself and my organization to fully cooperate with the implementation of The Arc Rockland Corporate Compliance Plan, to participate in any auditing or monitoring processes and to report any instances of possible violations of law, regulations or policies that are applicable to The Arc Rockland of which I become aware.
- ✓ I acknowledge that The Arc Rockland maintains a hotline for the purpose of receiving notifications of possible violations of law, regulation and The Arc Rockland Corporate Compliance Plan.
- ✓ I understand that my failure to report any concerns regarding possible violations of law, regulations or the Corporate Compliance Plan may result in corrective action, up to and including termination of my agreement with The Arc Rockland.

Signature

Print Name

Title

Date